

## Filing Values

<b>Submission Type</b>	SD
<b>Confirmation of Paper Copy?</b>	off
<b>Return Copy?</b>	off
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<b>Contact Phone</b>	1-415-643-6080
<b>Rule 13p-1</b>	1.01 12/31/2016 1.02 12/31/2016
<b>Notification Email Addresses</b>	file@rdgfilings.com

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## Documents

<b>SD</b>	<b>artw20170530_sd.htm</b>	FORM SD
<b>EX-1.01</b>	<b>ex1-01.htm</b>	Exhibit 1.01

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM SD  
Specialized Disclosure Report**

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ART'S-WAY MANUFACTURING CO., INC.  
(Exact name of registrant as specified in its charter)

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Delaware	000-05131	42-0920725
(State or other jurisdiction of incorporation or organization)	(Commission File Number)	(IRS Employer Identification No.)

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5556 Highway 9, Armstrong, Iowa	50514
(Address of principal executive offices)	(Zip Code)

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Amber Murra (712) 864-3131  
(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2016.

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## **Section 1 – Conflict Minerals Disclosure**

### **Item 1.01 Conflict Minerals Disclosure and Report**

This Form SD of Art's-Way Manufacturing Co., Inc. (the "Company") is filed pursuant to Rule 13p-1 promulgated under the Securities Exchange Act of 1934, as amended, for the reporting period January 1 to December 31, 2016.

A copy of the Company's Conflict Minerals Report is provided as Exhibit 1.01 to this Form SD, and is publicly available at <http://www.artsway-mfg.com/investor-relations/>.

### **Item 1.02 Exhibit**

As specified in Section 2, Item 2.01 of this Form SD, the Company is hereby filing its Conflict Minerals Report as Exhibit 1.01 to this report.

## **Section 2 – Exhibits**

### **Item 2.01 Exhibits**

The following exhibit is filed as part of this report.

<u>Exhibit No.</u>	<u>Description</u>
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Exhibit 1.01	— Conflict Minerals Report of Art's-Way Manufacturing Co., Inc.
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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

ART'S-WAY MANUFACTURING CO., INC.

/s/ Amber Murra

By: Amber Murra, Chief Financial Officer

Date: May 30, 2017

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**EXHIBIT INDEX**

Exhibit No.    Description

Exhibit 1.01 — Conflict Minerals Report of Art's-Way Manufacturing Co., Inc.

**ART'S-WAY MANUFACTURING CO., INC.**  
**Conflict Minerals Report**  
**For the reporting period from January 1, 2016 to December 31, 2016**

This Conflict Minerals Report (the "Report") of Art's-Way Manufacturing Co., Inc. (the "Company," "we," "our," and "us") has been prepared by our management on a consolidated basis pursuant to Rule 13p-1 and Form SD (the "Rule") promulgated under the Securities Exchange Act of 1934, as amended, for the reporting period January 1, 2016 to December 31, 2016 (the "Reporting Period").

The Rule requires disclosure of certain information when a company manufactures or contracts or manufacture products and the minerals specified in the Rule are necessary to the functionality or production of these products. The specified minerals, which are referred to herein as the "Conflict Minerals," are gold, columbite-tantalite (coltan), cassiterite, and wolframite, including their derivatives, which are limited to tantalum, tin, and tungsten. For purposes of the Rule, the "Covered Countries" are the Democratic Republic of the Congo, the Republic of the Congo, the Central African Republic, South Sudan, Uganda, Rwanda, Burundi, Tanzania, Zambia, and Angola.

If a registrant knows or has reason to believe that any of the Conflict Minerals necessary to the functionality or production of their products may have originated in the Covered Countries, then the registrant must exercise due diligence on the Conflict Minerals' source and chain of custody and submit a Conflict Minerals Report to the SEC that includes a description of those due diligence measures. As described in this Report, certain of the Company's segments manufacture, or contract to manufacture, products for which the Conflict Minerals are necessary to the functionality or production of such products and the Company has conducted a reasonable country of origin inquiry regarding such Conflict Minerals.

**Company Summary**

We began operations as a farm equipment manufacturer in 1956. Since that time, we have become a worldwide manufacturer of agricultural equipment, specialized modular science buildings, and steel cutting tools. Our principal manufacturing plant is located in Armstrong, Iowa.

For the majority of the Reporting Period, our business was organized into four operating segments:

- Our agricultural products segment manufactures and distributes farm equipment under our own and private labels and includes the operations of our wholly-owned subsidiary, Art's-Way Manufacturing International LTD, a Canadian company ("International").
  - Our modular building segment manufactures modular buildings for various uses, commonly animal containment and research laboratories, through our wholly-owned subsidiary, Art's-Way Scientific, Inc., an Iowa corporation.
  - Our tools segment manufactures standard single point brazed carbide tipped tools as well as PCD (polycrystalline diamond) and CBN (cubic boron nitride) inserts and tools through our wholly-owned subsidiary, Ohio Metal Working Products/Art's Way, Inc., an Ohio corporation ("Ohio Metal").
  - Our pressurized vessels segment manufactured pressure vessels through our wholly-owned subsidiary, Art's-Way Vessels, Inc., an Iowa corporation. In October 2016, we discontinued operations of our pressurized vessels segment.
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### **Description of the Company's Products Covered by this Report**

This Report relates to products that were (a) manufactured or contracted to be manufactured by the Company, (b) for which the manufacture was completed during the Reporting Period, and (c) for which Conflict Minerals are necessary to the functionality or production of that product. These products, which are referred to herein as the "Covered Products," are the following:

Standard single point brazed carbide tipped tools as well as PCD (polycrystalline diamond) and CBN (cubic boron nitride) inserts and tools.

### **Reasonable Country of Origin Inquiry**

As required by the Rule, the Company has conducted a reasonable country of origin inquiry regarding the Conflict Minerals in its Covered Products. This reasonable country of origin inquiry was designed to determine whether the Company would have reason to believe that any of the Conflict Minerals necessary to the functionality or production of its products may have originated in a Covered Country or may be from recycled or scrap sources. To the extent necessary following the reasonable country of origin inquiry, the Company also exercised due diligence on the source and chain of custody of the Conflict Minerals.

The Company's supply chain with respect to the Covered Products is complex, and there are many third parties in the supply chain between the ultimate manufacturer of the Covered Products and the original sources of the Conflict Minerals. The Company does not purchase Conflict Minerals directly from mines, smelters, or refiners, and, therefore, the Company must rely on its suppliers to provide information regarding the origin of Conflict Minerals included in its Covered Products.

The Company identified suppliers to be surveyed by reviewing direct material purchases during the Reporting Period and by conducting internal interviews with the Engineering Department and Purchasing Department, which revealed that the Company may have exposure to Conflict Minerals at one of its facilities. Based on the interviews and purchasing records, the Company generated a list of suppliers that may provide materials containing Conflict Minerals and mailed a letter to each supplier, which requested information regarding its suppliers and sources. The letter contained information guiding the supplier through the process of completing a survey to help the Company disclose the use of Conflict Minerals from Covered Countries in its products. During 2016, we received an 85% response rate, which was increased over the previous year. Our follow up procedure involves the mailing of additional requests for information, as well as electronic email follow up, if possible.

### **Due Diligence Measures**

Based on the information that was provided by the Company's suppliers and otherwise pursuant to the due diligence process, the Company performed due diligence measures on the source and chain of custody of Conflict Minerals necessary to the function or production of the Covered Products, which may have originated from the Covered Countries. The Company reviewed supplier responses and followed up with suppliers to request smelter or refiner information. The Company's efforts did not obtain sufficient information with respect to the Covered Products to determine the country of origin of the Conflict Minerals in the Covered Products, and, as such, the Company cannot reasonably determine that the Conflict Minerals in the Covered Products do not come from a Covered Country. The Company continues to request information from suppliers regarding the sourcing of materials, and is in the process of adopting a policy regarding Conflict Minerals.

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Additionally, the Company has not acquired sufficient information to enable it to make a reasonable determination as to the facilities that produce the Conflict Minerals in the Covered Products, nor can the Company make a reasonable determination as to the location of the mine or other location of origin of the Conflict Minerals in the Covered Products.

#### **Independent Private Sector Audit**

The Company does not have sufficient information to determine whether its products are “DRC conflict free.” As such, an independent private sector audit is not required at this time.

#### **Future Actions**

In connection with the annual preparation of the Form SD and the associated due diligence related to Conflict Minerals, the Company anticipates taking the following steps, among others, to improve its reasonable country of origin and follow-up due diligence measures and further mitigate the risk that the Conflict Minerals contained in the Company’s products finance or benefit armed groups in the Covered Countries: increasing the response rate of suppliers; enhancing its due diligence procedures to be able to determine with greater specificity the location of origin for Conflict Minerals included in the Covered Products; investigating whether implementing a conflict minerals policy and supplier compliance program would be in the best interests of the Company; and engaging in industry initiatives encouraging conflict-free supply chains.

#### **Forward-Looking Statements**

This Conflict Minerals Report contains forward-looking statements, which are based on our current expectations and involve numerous risks and uncertainties that may cause these forward-looking statements to be inaccurate. These statements include statements regarding our goals for future improvements to our reasonable country of origin process and to mitigate the risk about the sourcing of our conflict minerals. All forward-looking statements involve risk and uncertainty. Risks that may cause these forward-looking statements to be inaccurate include: lack of progress in carrying out these plans in a timely manner or at all; lack of cooperation or progress by our employees and suppliers, and our suppliers’ respective suppliers and smelters; or these plans may not be effective. In addition, you should also consider the important factors described in reports and documents that we file from time to time with the SEC. Except as required by law, we disclaim any obligation to update information contained in these forward-looking statements whether as a result of new information, future events, or otherwise.